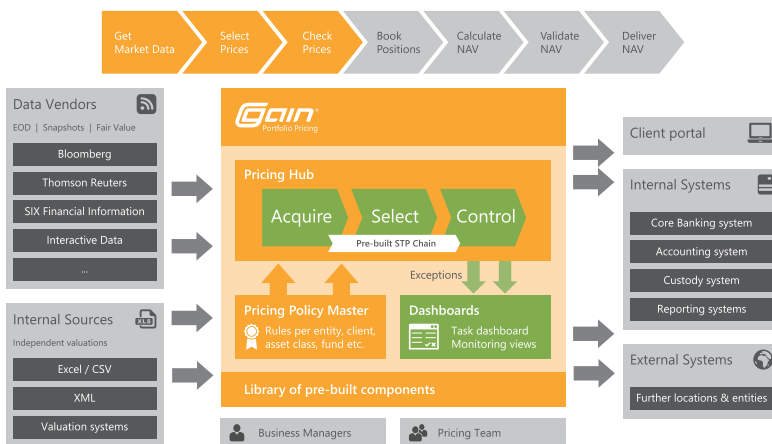


GAIN Portfolio Pricing

Centralized Pricing for Multiple Portfolios

GAIN Portfolio Pricing is the first centralized pricing and market data business application dedicated to the pricing of multiple portfolios for financial institutions. GAIN Portfolio Pricing collects and stores prices from multiple sources (data vendors, spreadsheets, text files etc.) and allows managing and mastering all client pricing policies across the range of portfolios on a modern user interface in a single system.



As the need for higher operational efficiency, central control, and processing transparency arose to address both increasing scrutiny by regulators and more demanding clients, AIM Software developed GAIN Portfolio Pricing, a business application designed for the pricing of multiple portfolios for NAV calculations.

The business application supports pricing teams to boost STP levels and to apply changes in the processing chain autonomously, while providing a central point of control, quality, and transparency for pricing funds and portfolios.

GAIN Portfolio Pricing supports both:

- The daily production of high quality and validated enterprise-wide market data for securities to facilitate group-wide distribution;
- The timely and consistent pricing of securities for all fund and assets types, tailored to individual client needs.

GAIN Portfolio Pricing acts as a central point for a more efficient acquisition of data from multiple vendors. It offers a consistent way to process and validate

Pricing hub targeting the pricing of multiple portfolios

- Rich set of pre-built features focused on reducing manual intervention
- Full control for business users without relying on IT
- Modular configuration for fast delivery of regulatory changes
- Pricing Policy Master

prices, with the ability to serve the unique needs of each business entity within one installation. Out-of-the-box adapters to data sources include Bloomberg, IDC, SIX Financial Information or Thomson Reuters.

GAIN Portfolio Pricing comes with a rich set of pre-built features specifically designed for the pricing of multiple portfolios. These include intelligent price checks, exception management workflows, and advanced monitoring dashboards, all maintained as part of the product.

The business application approach ensures that the integration phase in a client's infrastructure will be kept as short as possible. Also, the TCO will be significantly reduced in comparison to traditional data management approaches consisting of building the solution as a bespoke project based on platforms and tools.

Efficient business operations



- Intuitive design for business operations
- Rich set of pre-built checks to reduce manual exceptions
- Flexible priority-based task management
- Optimized data consumption
- Monitoring of activities

Responsive and flexible service



- Pricing Policies are portfolio, client, or entity-specific
- Operational flexibility and autonomy in building and maintaining workflows
- on-boarding of new client requests or portfolios
- Scalable and optimized pricing engine

Governance and control



- Pricing Policies are transparent and fully audited
- Full support for four-eye workflows and role-based set-ups
- Higher transparency: audit trail of all pricing operations

Fast ROI and low risk



- Packaged business applications speed up the implementation and lower the total cost of ownership (TCO)
- Higher rates of straight-through processing (STP) mean lower staffing levels
- Lower risk because of "product, not project"

CONTROL AND TRANSPARENCY FOR PRICING POLICIES

GAIN Portfolio Pricing comes with a unique module, the Pricing Policy Master, dedicated to the management of multiple pricing policies.

Based on a modular parameterization approach and directly accessible to business operations users, it allows fast delivery of new funds or changed requirements while ensuring consistent control, versioning, and audit trail for pricing policies across all portfolios.

MEET REGULATORY REQUIREMENTS FASTER

GAIN Portfolio Pricing provides business operation users with the unique ability to steer the pricing of each portfolio through a comprehensive set of parameterization, dashboard, and monitoring screens. This includes changing the tolerance, applying a new check due to a regulatory change, or onboarding a new fund with a non-standard pricing policy. All this can be performed directly by a business user and does not require any IT knowledge.

SIGNIFICANTLY REDUCE MANUAL INTERVENTIONS

GAIN Portfolio Pricing is provided with a rich set of validation checks such as benchmark variation or materiality check. All are designed to ensure quality and correctness of prices while reducing manual intervention to a minimum.

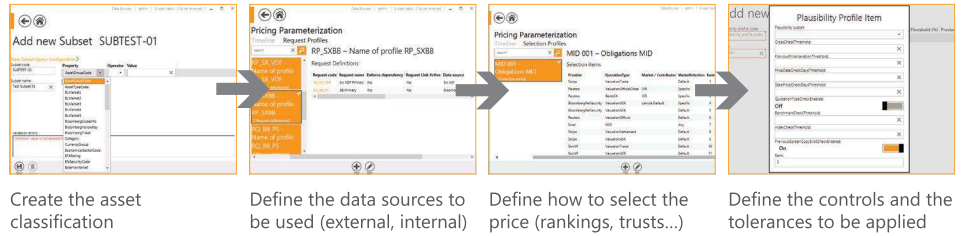
Pricing teams can identify the most urgent work faster, by prioritizing tasks based on various parameters such as cut-off times. All information needed is readily available to solve suspect prices in a timely manner.

The multi-portfolio support means that the resolution of any given price exception applies itself to all portfolios affected, without the need to make the same update multiple times.

Advanced monitoring screens provide full management insight on all daily activities.

TRY THE PRODUCT

contact us at sales@aimsoftware.com



Create the asset classification

Define the data sources to be used (external, internal)

Define how to select the price (rankings, trusts...)

Define the controls and the tolerances to be applied



Associate request, selection and plausibility profiles to create pricing methods

Associate the asset classes of the portfolio with pricing methods

Assign a pricing policy to the portfolio

Validate (four-eye review) and apply the changes

Establishing a new portfolio or changing a pricing policy is now a matter of clicks for business users by using GAIN's intuitive interface.

Automate	Operate	Control
<ul style="list-style-type: none"> Acquire from internal and external sources Order required prices only Use big library of checks Minimize data consumption with conditional requests Publish portfolio as soon as complete 	<ul style="list-style-type: none"> Issues are raised only when necessary Manual exceptions are prioritized Prices are validated once for all portfolios Full insight on current and upcoming operations 	<ul style="list-style-type: none"> Configuration interface designed for end users Pricing per client, fund, and asset class Modular configuration, reusability Versioning, audit of pricing policies Audit trail shows the parameters used

Efficient business operations	Responsive and flexible service	Governance and control	Fast ROI and low risk
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BUSINESS READABILITY for audit and investigation

Title	Timestamp	Message
15.01.2014 00:00:00 - Pricing Test of DV [0.08 s]	15.01.2014 17:57:48	
PRICING 15.01.2014 00:00:00 - Pricing Test of DV - 1/15/2014	15.01.2014 17:57:48	
9999999-000 - CATERPILLAR INC. [628.81 s]	15.01.2014 17:58:40	
GOLDEN COPY CREATION [10.4 s]	15.01.2014 18:10:09	
Scheduling Golden Copy for Bv-ING	15.01.2014 18:10:09	

MANAGEMENT INSIGHT Operations dashboard

EFFICIENCY in exception management

Pr. Cut Off	PS	Security Code	Security name	Details	Assigned to
28.01.2015 05:00:00	1	1102544838001	TFINLSF A		
28.01.2015 05:00:00	1	1102544838001	TFINLSF A		
28.01.2015 05:00:00	1	1596418431009	IBeeMScv EubFfd B C		
28.01.2015 05:00:00	1	1596418431009	IBeeMScv EubFfd B C		

FULL CONTROL FOR END USERS Flexibility without depending on IT

AIM Software is the leading provider of business applications for data management to the financial services industry. Solutions include software for reference data management, financial instrument pricing, corporate actions and entity data. With more than 110 client references, and offices in Switzerland, Austria, Luxembourg, France, the UK and the US, AIM operates globally and offers low risk all-in-one software packages, based on its industry-proven data management platform GAIN.

For further information please visit www.aimsoftware.com.

Beyond EDM

